Proposed Revisions to HOP

10.1.9

Conflict of Interest, Conflict of Commitment and Outside Activities
Brief History of UTS 180: affect on local policy

• UTS 180 Conflict of Interest, Conflict of Commitment and Outside Activities first published January 2013
  • Required disclosure of Non-Research Related COI (NRR-COI) and Outside Professional Activities by all faculty and administrative and professional staff (non-exempt employees)
  • Required annual reporting to UTS electronic database using categories predefined by UTS
  • Required “prior approval” of some outside activities, even where no COI or COC were identified
  • Required UTS review/approval of institutional policy if not using the model policy provided

• UTS180 has been revised five times over past six years
History.....(continued)

• Current version of UTS180 (March 2018)
  • Establishes process requirements; most stipulations removed
  • An electronic process for review and approval is required; UTS reporting no longer required; UTS data dictionary no longer required
  • Prior approval of specific categories of outside activities is no longer required; all NRR-COI activities must be reported before the activity begins
  • Provides stronger guidance on an appeal process, rescinding approval, and noncompliance with disclosure policy
  • UTS approval of local policy no longer required (no model policy)
Proposed Changes to Local Policy

• Latest change to UTS 180 allows us to revise our local policy
• No longer need to group NRR-COI and COC together, suggest separating them into:
  • COC (HOP 10.1.8)
  • NRR-COI (HOP 10.1.9)
• HOP 10.1.8 (COC), assign to VP Academic, Faculty and Student Affairs; future revisions as determined VP, EC/Deans, & Faculty Senate
• HOP 10.1.9 (NRR-COI), assign to VP and Chief Financial Officer; future revisions as determined by VP & EC
No Change

• Use of iDisclose to report all outside professional activities
  • COI Manager will continue to channel disclosures to the appropriate official

• Role of the Conflict of Interest Committee
  • Activities that are disclosed that may present NRR-COI will continue to be reviewed and managed by the COIC

• Role of the VPR
  • COI Institutional Official
  • Noncompliance with annual reporting by researchers

• Role of EC
  • Noncompliance with annual reporting by non-researchers